

10 608 807

Case Docket No. AMERGN.16CCPC1 Date: September 25, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Lon E. Bell

Appl. No.

Unknown

Filed

June 27, 2003

For

THERMOELECTRIC HEAT

EXCHANGER

Examiner

Unknown

Group Art Unit:

Unknown

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

September 25, 2003

(Date)

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- A PTO Form 1449 with 24 references. (X)
- The Commissioner is hereby authorized to charge any additional fees which may be required, or (X) credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

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Customer No. 20,995

Docket No.: AMERGN.16CCPC1

INFORMATION DISCLOSURE STATEMENT

Applicant

Lon E. Bell

App. No.

: Unknown

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EXCHANGER

Examiner

Unknown

Group Art Unit

Unknown

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 24 references. These references were cited in Patent Application No. 09/076,518 (attorney docket No. AMERGN.016A) filed May 12, 1998, Patent Application No. 09/428,018 (attorney docket No. AMERGN.016C1) filed October 27, 1999, and/or Patent Application No. 09/971,539 (attorney docket No. AMERGN.16C2CP1) filed October 2, 2001, to which priority is claimed under 35 U.S.C. § 120. Also cited are the three patents issued from the above-mentioned applications. Therefore, under 37 C.F.R. § 1.98(d) no copies of these references need to be submitted.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 50p. 25, 2003

By:

Mark J. Kertz

Registration No. 43,711 Attorney of Record

Customer No. 20,995

(949) 760-0404

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

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	ATTY. DOCKET AMERGN.16C0		APPLICATION NO. Unknown	
	APPLICANT Lon E. Bell	SEP 2 9 2003 \$		
	FILING DATE June 27, 2003	TRADENA	GROUP Unknown	

	U.S. PATENT DOCUMENTS						
EXAMINER DOCUMENT NUMBER INITIAL			DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	2,362,259	11/7/44	Findley			
	2	2,363,168	11/21/44	Findley			
	3	2,519,241	8/15/50	Findley			
	4	2,984,077	5/16/61	Gaskill			
	5	3,019,609	2/6/62	Pietsch			
	6	3,085,405	4/16/63	E. W. Franti			
	7	3,137,142	6/1964	Venema			-
	8	3,197,342	7/1965	Neild			
	9	3,212,275	10/1965	Tillman			
	10	3,599,437	3/3/70	Joseph F. Panas			
	11	4,665,707	5/19/87	Hamilton			
	12	5,419,780	5/30/95	Suski			
	13	5,448,891	9/1995	Nakagiri et al.			
	14	5,544,487	8/1996	Attey et al.			
	15	5,561,981	10/1996	Quisenberry et al.			
	16	5,822,993	10/1998	Attey, Graeme Scott			
	17	6,119,463	9/19/00	Bell			
	18	6,213,198	4/2001	Shikata et al.			
	19	6,223,539 B1	5/1/01	Bell			
	20	6,606,866 B2	8/19/03	Bell			

FOREIGN PATENT DOCUMENTS								
EXAMINER		DOCUMENT NUMBER DATE	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
INITIAL			d				YES	NO
	21	WO 95/14899	06/01/95	PCT				
	22	WO 95/31688	11/23/95	PCT				
	23	EP 0 834 421 A1	04/08/98	Europe				
	24	LU 66619	02/08/73					

EXAMINE	F
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DATE CONSIDERED

SHEET 2 OF 2

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

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ATTY, DOCKET N AMERGN.16CC		APPLICATION NO. Unknown	
APPLICANT Lon E. Bell	SEP 2 9 2003 E		
FILING DATE June 27, 2003	FRADENAPICO	GROUP Unknown	

EXAMINER INITIAL		OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	25	

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EXAMINER

DATE CONSIDERED